

NOx Budget Permit Application

This submission is: ☐ New ☐ Revised

STEP 1  
Identify the source by plant name, State, and ORIS or facility code

Plant Name	State	ORIS/Facility Code
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STEP 2  
Enter the unit ID# for each NOx budget unit

Unit ID#

STEP 3

- Read the standard requirements
- Enter the name of the NOx authorized account representative
- NOx authorized account representative's signature and date signed

<b>STANDARD REQUIREMENTS</b>	
<u>Kentucky Administrative Regulations</u>	
401 KAR 51:001.	Definitions for 401 KAR Chapter 51.
401 KAR 51:160.	NOx requirements for large utility and industrial boilers.
401 KAR 51:170.	NOx requirements for cement kilns.
401 KAR 51:180.	NOx credits for early reduction and emergency.
401 KAR 51:190.	Banking and trading NOx allowances.
401 KAR 51:195	NOx opt-in provisions.
<u>Liability</u>	
(1) Any person who knowingly violates a requirement or prohibition of the NOx Budget Trading Program or a NOx Budget permit shall be subject to enforcement pursuant to applicable State or Federal law.	
(2) Any person who knowingly makes a false material statement in any record, submission, or report under the NOx Budget Trading Program shall be subject to criminal enforcement pursuant to the applicable State or Federal law.	
(3) No permit revision shall excuse any violation of the requirements of the NOx Budget Trading Program that occurs prior to the date that the revision takes effect.	
(4) Each NOx Budget source and each NOx Budget unit shall meet the requirements of the NOx Budget Trading Program.	

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Plant Name (from Step 1)

**Liability (continued)**

(5) Any provision of the NOx Budget Trading Program that applies to a NOx Budget source or the NOx authorized account representative of a NOx Budget source shall also apply to the owners and operators of such source and of the NOx Budget units at the source.

(6) Any provision of the NOx Budget Trading Program that applies to a NOx Budget unit or the NOx authorized account representative of a NOx budget unit shall also apply to the owners and operators of such unit. Except with regard to the requirements applicable to units with a common stack under subpart H of 40 CFR Part 96, the owners and operators and the NOx authorized account representative of one NOx Budget unit shall not be liable for any violation by any other NOx Budget unit of which they are not owners or operators or the NOx authorized account representative and that is located at a source of which they are not owners or operators or the NOx authorized account representative.

**Effect on Other Authorities.**

No provision of the NOx Budget Trading Program, a NOx Budget permit application, a NOx Budget permit, or an exemption under 401 KAR 51:160, Section 2, shall be construed as exempting or excluding the owners and operators and, to the extent applicable, the NOx authorized account representative of a NOx Budget source or NOx Budget unit from compliance with any other provision of the applicable, approved State implementation plan, a federally enforceable permit, or the Clean Air Act.

**Certification**

I am authorized to make this submission on behalf of the owners and operators of the NOx Budget sources or NOx Budget units for which the submission is made. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment.

Name	
Signature	Date

**STEP 4 (For sources with opt-in units only)**

For each unit listed under Step 2 that is an opt-in unit, re-enter the unit ID#, and indicate if this is an initial permit application for that unit by checking the box

Unit ID#	Check box if initial permit application
<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>

**Step 5 (For sources with opt-in units only)**

I certify that each unit for which this permit application is submitted under 401 KAR 51:195 is not a NOx Budget unit under Kentucky's NOx SIP and is not covered by an exemption under 401 KAR 51:160, Section 2 that is in effect.

- Read the certification
- Enter the name of the NOx authorized account representative
- NOx authorized account representative's signature and date signed

Name	
Signature	Date

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Plant Name (from Step 1)
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STEP 6 (For sources submitting an initial NOx Budget opt-in permit application)

I certify that each unit for which this permit application is submitted under 401 KAR 51:160 is operating, as that term is defined in 401 KAR 51:001, Section 1(126).

- Read the certification
- Enter the name of the NOx authorized account representative
- NOx authorized account representative's signature and date signed

Name	
Signature	Date